

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: LOGAN M. COLBY ET AL

FOR: METHOD, SYSTEM, AND STORAGE MEDIUM FOR PROVIDING CONTEXT-BASED DYNAMIC POLICY ASSIGNMENT IN A DISTRIBUTED PROCESSING ENVIRONMENT

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

The Commissioner of Patents  
and Trademarks  
P.O. Box 1450  
Alexandria, VA 22313-1450

In compliance with the duty to disclose, applicants submit herewith a copy of each publication, excluding U.S. Patents and U.S. published patent applications, of which those designated by 37 CFR § 1.56 are aware; the publications are listed on attached form PTO-1449. The present Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other art than that identified on the attached form PTO-1449 exists.

Consideration of this Information Disclosure Statement is respectfully requested, since the Examiner may consider the information provided herein material to the patentability of the subject application as defined in 37 CFR § 1.56.

Since this Information Disclosure Statement is being filed within three months of the


filing date of the subject application, no fee or certification under 37 CFR § 1.97 (e) is required.

However, in the event the Commissioner of Patents deems that any fee is required under 37 CFR §§ 1.16 and 1.17 in connection with this application, applicants' attorneys authorize that such fee be charged to Deposit Account No. 09-0463.

Respectfully submitted,

LOGAN M. COLBY ET AL

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**INFORMATION DISCLOSURE CITATION***(Use several sheets if necessary)*ATTY DOCKET NO  
POU920030142US1SERIAL NO  
Not Yet Assigned

Logan M. Colby et al

FILING  
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Not Yet Assigned**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,968,176	10/19/1999	Nessett et al.			
	6,044,466	3/28/2000	Anand et al.			
	6,158,010	12/5/2000	Moriconi et al.			
	6,167,445	12/26/2000	Gai et al.			
	US 6,173,322 B1	1/9/2001	Hu			
	US 6,209,101 B1	3/27/2001	Mitchem et al.			
	US 6,347,376 B1	2/12/2002	Attwood et al.			
	US 6,393,474 B1	5/21/2002	Eichert et al.			
	US 6,466,947 B2	10/15/2002	Arnold et al.			
	US 6,484,261 B1	11/19/2002	Wiegel			
	US 6,487,552 B1	11/26/2002	Lei et al.			

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	GB 2 356 764 A	5/30/2001	Great Britain				
	EP 1 220 510 A2	7/3/2002	Europe				

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		IBM Research Disclosures, "Dynamic Loading of Locale Specific Hyper Text Markup Language (HTML) Pages", March 1998, pg. 308-40791
		IBM developerWorks, Calvin Powers, "Context-Based Role Assignment with Tivoli SecureWay Privacy Manager", April 2001, pgs. 1-5

EXAMINER

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**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US 6,505,244 B1	1/7/2003	Natarajan et al.			

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		JSEF Concepts, "JSEF's Enhanced Policy Semantics", January 2003, pgs. 1-6
		S. A. Demurjian, T. C. Ting, J. A. Reisner, "Software Architectural Alternatives for User Role-Based Security Policies", date unknown, pgs. 1-14

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